

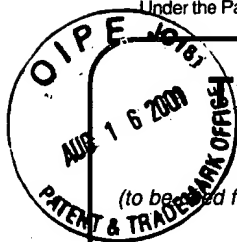
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PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/839,610	
	<b>Filing Date</b>	April 23, 2001	
	<b>First Named Inventor</b>	Joseph Kraft, et al.	
	<b>Group Art Unit</b>	3748	
	<b>Examiner Name</b>	unknown	
<b>Total Number of Pages in This Submission</b>	9	<b>Attorney Docket Number</b>	11777.00039

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>3 Joint Declaration's</b>
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Patricia E. Hong, Reg. No. 34,373
Signature	<i>Danell A. Thattley</i> Reg No. 42,912
Date	August 16, 2001

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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision</p>		<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/839,610</td> </tr> <tr> <td>Filing Date</td> <td>April 23, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Joseph Kraft, et al.</td> </tr> <tr> <td>Examiner Name</td> <td>unknown</td> </tr> <tr> <td>Group / Art Unit</td> <td>3748</td> </tr> <tr> <td>Attorney Docket No.</td> <td>11777.00039</td> </tr> </table>		Application Number	09/839,610	Filing Date	April 23, 2001	First Named Inventor	Joseph Kraft, et al.	Examiner Name	unknown	Group / Art Unit	3748	Attorney Docket No.	11777.00039
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<p><b>TOTAL AMOUNT OF PAYMENT</b> (\$)</p>		<p>1322</p>													

<p><b>METHOD OF PAYMENT (check one)</b></p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 19-0733</p> <p>Deposit Account Name: Banner &amp; Witcoff, Ltd.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>					<p><b>FEE CALCULATION (continued)</b></p>																																																																																																																																																																																																																																														
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<p><b>SUBMITTED BY</b></p>				<p><b>Complete (if applicable)</b></p>	
Name (Print/Type)	Patricia E. Hong	Registration No. Attorney/Agent	34,373	Telephone	202-508-9100
Signature				Date	August 16, 2001

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,610	04/23/2001	Joseph Kraft	11777.00039

CONFIRMATION NO. 1477

## FORMALITIES LETTER



\*OC000000006199155\*

28480  
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Date Mailed: 06/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/17/2001 HNDOR1 00000086 190733 09839610

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 CH
02 FC:105	130.00 CH
03 FC:103	162.00 CH
04 FC:102	320.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$402.
  - \$162 for 9 total claims over 20.
  - \$240 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1242.

A copy of this notice **MUST** be returned with the reply.

RECEIVED

JUN 20 2001

*MTB*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BANNER &amp; WITCOFF, LTD.

